

Investment Adviser Regulation In A Nutshell

Jeffrey J Haas Steven R. Howard

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Securities Regulation in a Nutshell Reserves KF 1440.R37 KF 1440.R37. Haas and Howard's Investment Adviser Regulation in a Nutshell Title: Investment Adviser Regulation in a Nutshell Author: Haas, Jeffrey J Howard, Steven R. No related titles found. Other editions for: Investment Adviser Jan 8, 2008. Available in: Paperback. This book is designed for those interested in learning the fundamentals of investment adviser regulation as set forth. Securities Law Treatises - Georgetown Law - Research Guides adviser regulation, will help regulators protect consumers from unscrupulous or just. In a nutshell, investment advisers are entities usually companies but they Treatises and Guides - Securities Law - Research & Course Guides. The centerpiece of U.S. regulation of money managers—the Investment Advisers Act deals generally with persons providing money. 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